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Classification of A -simple germs
from K^n to K^2

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Classification of \mathcal{A} -simple germs from k^n to k^2

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Introduction and notation

There exist extensive classifications of map-germs from n -space ($n \geq 2$) into the plane up to contact equivalence (\mathcal{K} -equivalence), see for example [D, Da, G, M, W]. In the present paper we refine a small part of these \mathcal{K} -classifications by studying right-left equivalence classes (\mathcal{A} -classes) contained in certain \mathcal{K} -orbits. In particular we obtain a classification of \mathcal{A} -simple germs from (complex and real) n -space ($n \geq 2$) into the plane (the \mathcal{A} -simple germs of plane curves $\mathbf{C} \rightarrow \mathbf{C}^2$ have been classified in [Bru Gaf]).

Let $f : k^n, 0 \rightarrow k^p, 0$ be a smooth map-germ (where $k = \mathbf{C}$ or \mathbf{R} , and where smooth means analytic in the former and C^∞ or analytic in the latter case). Let $\mathcal{A} = \text{Diff}(k^n, 0) \times \text{Diff}(k^p, 0)$ denote the group of right-left equivalences, which acts on the space of smooth germs f as follows: $(h, k).f = h \circ f \circ k^{-1}$, where $(h, k) \in \mathcal{A}$. Replacing the action on the left, i.e. the composition with elements of $\text{Diff}(k^p, 0)$, by composition with elements of $Gl(p, k)$ with entries in C_n (where $C_n =$ local ring of smooth function germs $k^n, 0 \rightarrow k, 0$) gives the group \mathcal{K} of contact equivalences. A \mathcal{G} -orbit U (where $\mathcal{G} = \mathcal{A}$ or \mathcal{K}) is said to be adjacent to another \mathcal{G} -orbit V , denoted by $U \rightarrow V$, if any representative f of U can be embedded in an unfolding $F(u, \bar{f}(u, x))$, where $\bar{f}(0, x) = f(x)$, such that the set $\{u, x\}$ for which $\bar{f}(u, x) \in V$ contains $u = x = 0$ in its closure. A \mathcal{G} -orbit U is said to be \mathcal{G} -simple if it is adjacent to only a finite number of other \mathcal{G} -orbits.

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Let C_n and C_p denote the local rings of function-germs in source and target whose respective maximal ideals are m_n and m_p . Let θ_f denote the C_n -module of vector fields over f , and set $\theta_n = \theta(1_{k^n})$ and $\theta_p = \theta(1_{k^p})$. One can then define the homomorphisms

$$tf : \theta_n \rightarrow \theta_f, \quad tf(\psi) = Df.\psi,$$

and

$$wf : \theta_p \rightarrow \theta_f, \quad wf(\emptyset) = \emptyset \circ f.$$

The tangent space to the \mathcal{A} -orbit at f can be calculated to be $T\mathcal{A}.f = tf(m_n.\theta_n) + wf(m_p.\theta_p)$, and the \mathcal{A} -codimension of f is $\text{cod}(\mathcal{A}, f) = \dim_k m_n.\theta_f/T\mathcal{A}.f$.

Let $J^k(n, p)$ denote the space of k^{th} order Taylor polynomials without constant terms, and write $j^k f$ for the k -jet of f . The Lie group $\mathcal{A}^k := j^k(\mathcal{A})$ acts smoothly on $J^k(n, p)$, and we shall write $T\mathcal{A}^k.f$ for the corresponding tangent space $T_{j^k f} \mathcal{A}^k.j^k f$. A germ f is said to be k -determined if, for any g , $j^k f = j^k g$ implies that $f \sim g$. The calculation of \mathcal{A}^k -orbits (using Mather's Lemma [Ma IV, Lemma 3.1]) and of the determinacy degree of a germ f (using an estimate of duPlessis [dP, Corollary 3.9]) are the main tools that we use in the present classification. See [Rie] for further details on notation and techniques.

1 Classification of \mathcal{A} -simple Σ^1 -germs from $k^n, 0$ to $k^2, 0$, $n > 1$

We can assume that $f : k^n, 0 \rightarrow k^2, 0$ is of the form $f(x, y) = (x, f_2(x, y))$, and we denote by X, Y the coordinates in the target. The following splitting lemma is almost content-free but clarifies the subsequent classification,

Lemma 1.1 *Every map-germ $f : k^n, 0 \rightarrow k^2, 0$, $n > 1$, of corank 1 is \mathcal{A} -equivalent to a germ of the form*

$$h(x, y, z) = (x, g(x, y_1, \dots, y_m) + \sum_{i=1}^{n-m-1} \epsilon z_i^2),$$

where $g(0, y_1, \dots, y_m) \in m_n^3$ and $\epsilon = \pm 1$ (for $k = \mathbb{C}$, $\epsilon = 1$); and $\text{cod}(\mathcal{A}, h) = \text{cod}(\mathcal{A}, (x, g)) + n - m - 1$.

Proof. Any $j^k f(x, y, z) = (x, f_2(x, y, z))$ can be reduced to h by right-coordinate changes of the form $\bar{z}_i = \phi(x, y, z)$, where the bar denotes new coordinates and not

complex conjugation. It is also clear that $T\mathcal{A}^k.j^k f, k > 1$, contains all monomials containing powers of z_i except for $z_i.\partial/\partial Y$, which implies the last statement of the Lemma. \square

Hence, if we take $m = 1$ the lemma above reduces the classification of germs $f : k^n, 0 \rightarrow k^2, 0$ to the classification of germs $g : k^2, 0 \rightarrow k^2, 0$ of corank 1. The \mathcal{A} -simple germs of the plane of corank 1 have been classified by one of the authors in [Rie]. Next, consider the case $m = 2$.

Lemma 1.2 *For $m = 2$ (or indeed for $m \geq 2$) there are no \mathcal{A} -simple germs $f(x, y) = (x, g(x, y_1, \dots, y_m))$, where $g(0, y_1, \dots, y_m) \in m_{m+1}^3$ as in Lemma 1.1.*

Proof. The 2-jet of f is, by the hypothesis on g , given by $j^2 f = (x, ax^2 + bx_1 + cx_2)$, which can either be reduced to (x, xy_1) , provided that either b or c is non-zero, or else to $(x, 0)$. First, we show that the \mathcal{A}^3 -orbits over $j^2 f = (x, xy_1)$ are at least uni-modal. Note that we can reduce any 3-jet over (x, xy_1) to $h := (x, xy_1 + ay_1^3 + by_1^2 y_2 + cy_1 y_2^2 + dy_2^3)$. There are exactly four generators, namely $wh(X, Y) - th(x, 0, 0)$, $th(0, y_1, 0) - th(x, 0, 0) + wh(X, 0)$, $th(0, 0, y_1)$, and $th(0, 0, y_2)$, for the subspace $V := k\{(0, y_1^i y_2^j), i + j = 3\}$ of $T\mathcal{A}^3.h$, leading to the following matrix of coefficients:

$$\begin{bmatrix} a & b & c & d \\ 3a & 2b & c & \\ b & 2c & 3d & \\ & b & 2c & 3d \end{bmatrix}$$

which doesn't have maximal rank (because (row 4) - 3 × (row 1) = -(row 2)). It follows from Mather's lemma [Ma IV] that V is foliated by (at least) a 1-parameter family of \mathcal{A}^3 -orbits.

The same is true for the \mathcal{A}^3 -orbits over $(x, 0)$. Note that $\dim_k[(m_3^3/m_3^4)\partial/\partial Y] = 10$ while there are only nine generators for elements of $T\mathcal{A}^3.f$ "downstairs":

$$wf(0, X^3), wf(0, Y), tf(x, 0, 0) - wf(X, 0), tf(0, x, 0), tf(0, y_1, 0), \dots, tf(0, 0, y_2) ;$$

where $f := (x, h_3(x, y_1, y_2))$, h_3 being a general cubic form.

For $m > 2$ the \mathcal{A}^2 -orbits are still those of (x, xy_1) and $(x, 0)$, and the modality of the \mathcal{A}^3 -orbits over (x, xy_1) and $(x, 0)$ is clearly greater than or equal to one. Lemma 1.2 now follows. \square

Using the results of [Rie] we get the following classification

Proposition 1.3 *An \mathcal{A} -simple map-germ $f : k^n, 0 \rightarrow k^2, 0$ ($n > 1$) of corank 1 is equivalent to one of*

type	$f(x, y, z_1, \dots, z_{n-2}) =$	$\text{cod}(\mathcal{A}, f)$
1	(x, y)	0
2	$(x, y^2 + \sum \epsilon z_i^2)$	$n - 1$
3	$(x, xy + y^3 + \sum \epsilon z_i^2)$	n
4_k	$(x, y^3 + \epsilon^{k-1} x^k y + \sum \epsilon z_i^2), k > 1$	$n + k - 1$
5	$(x, xy + y^4 + \sum \epsilon z_i^2)$	$n + 1$
6	$(x, xy + y^5 + \epsilon y^7 + \sum \epsilon z_i^2)$	$n + 2$
7	$(x, xy + y^5 + \sum \epsilon z_i^2)$	$n + 3$
11_{2k+1}	$(x, xy^2 + y^4 + y^{2k+1} + \sum \epsilon z_i^2), k > 1$	$n + k$
12	$(x, xy^2 + y^5 + y^6 + \sum \epsilon z_i^2)$	$n + 3$
13	$(x, xy^2 + y^5 + \epsilon y^9 + \sum \epsilon z_i^2)$	$n + 4$
14	$(x, xy^2 + y^5 + \sum \epsilon z_i^2)$	$n + 5$
16	$(x, x^2 y + y^4 + \epsilon y^5 + \sum \epsilon z_i^2)$	$n + 3$
17	$(x, x^2 y + y^4 + \sum \epsilon z_i^2)$	$n + 4$

(where $\epsilon = \pm 1$ for $k = \mathbf{R}$, and $\epsilon = 1$ for $k = \mathbf{C}$).

Remark 1.4 *An \mathcal{A} -versal (and for that matter any) deformation of one of these germs does not increase m . Hence, these \mathcal{A} -classes are only adjacent to other ($m = 1$)-germs and therefore are simple for all n .*

2 Classification of \mathcal{A} -simple $\Sigma^{2,0}$ germs from $k^n, 0$ to $k^2, 0$

The main result in this section is the following classification.

Proposition 2.1 *Any simple germ $f : k^n, 0 \rightarrow k^2, 0$ (for $n > 1$) of corank 2 is \mathcal{A} -equivalent to some member of the following series of germs:*

$$(i) \quad k = \mathbf{R} : \begin{aligned} I_{2,2}^{\ell,m} &= (x^2 + y^{2\ell+1}, y^2 + x^{2m+1}), \quad \ell \geq m \geq 1, \quad \text{or} \\ II_{2,2}^{\ell} &= (x^2 - y^2 + x^{2\ell+1}, xy), \quad \ell \geq 1; \end{aligned}$$

$$(ii) \quad k = \mathbf{C} : I_{2,2}^{\ell,m} = (x^2 + y^{2\ell+1}, y^2 + x^{2m+1}), \quad \ell \geq m \geq 1.$$

The \mathcal{A} -codimension of $I_{2,2}^{\ell,m}$ and $II_{2,2}^{\ell}$ are $\ell + m + 2$ and $2(\ell + 1)$ respectively.

To prove this statement we classify \mathcal{A} -orbits contained in \mathcal{K} -simple orbits of germs $f : k^n, 0 \rightarrow k^2, 0$ of corank 2. Such \mathcal{K} -simple germs have been classified in [D,M,Da] (see Theorem 2.3.1, below). In the present classification of \mathcal{A} -simple germs we can discard all \mathcal{K} -orbits adjacent to some \mathcal{K} -orbit that doesn't contain any \mathcal{A} -simple orbits.

At the end of the section, we also consider non-simple germs of type $\Sigma^{2,0}$. Proposition 2.4.1 gives a partial normal form for any corank 2, \mathcal{A} -finitely determined complex germ with non-degenerate 2-jet. An estimate for the degree of \mathcal{A} -determinacy of such germs is also proved.

2.1 Classification of \mathcal{A} -orbits in $\mathcal{K}(x^2, y^2)$, for $k = \mathbf{C}$ or \mathbf{R}

Proposition 2.1.1 *Any germ contained in the \mathcal{K} -orbit of (x^2, y^2) is \mathcal{A} -equivalent to some member of the series*

$$I_{2,2}^{\ell,m} = (x^2 + y^{2\ell+1}, y^2 + x^{2m+1}), \quad \ell \geq m \geq 1.$$

The $I_{2,2}^{\ell,m}$ are $(2\ell + 1)$ -determined, and $\text{cod}(\mathcal{A}, I_{2,2}^{\ell,m}) = \ell + m + 2$.

Proof. Any k -jet $(x^2 + \sum a_{i,j} x^i y^j, y^2 + \sum b_{i,j} x^i y^j)$, where the $x^i y^j$ are of degree $k > 1$, can be reduced to $(x^2 + a_{0,k} y^k, y^2 + b_{k,0} x^k)$ by the right-coordinate change $(\bar{x}, \bar{y}) = (x - \frac{1}{2}(a_{k,0} x^{k-1} + \dots + a_{1,k-1} y^{k-1}), y - \frac{1}{2}(b_{k-1,1} x^{k-1} + \dots + b_{0,k} y^{k-1}))$. Now, suppose $k = 2\ell$: the left-coordinate changes $\bar{X} = X - a_{0,2\ell} Y^{2\ell}$ and $\bar{Y} = Y - b_{2\ell,0} X^{\ell}$ give (x^2, y^2) , which is the single $\mathcal{A}^{2\ell}$ -orbit over $j^{2\ell-1} f = (x^2, y^2)$. If $k = 2\ell + 1$, we have three $\mathcal{A}^{2\ell+1}$ -orbits over $j^{2\ell} f = (x^2, y^2)$: (i) $(x^2 + y^{2\ell+1}, y^2 + x^{2\ell+1})$, (ii) $(x^2, y^2 + x^{2\ell+1})$, and (iii) (x^2, y^2) . By a result of du Plessis [dP, Example 3.18], $(x^2 + y^{2\ell+1}, y^2 + x^{2\ell+1})$ is $(2\ell + 1)$ -determined. Now, consider \mathcal{A}^k -orbits over $j^{2m+1} f = (x^2, y^2 + x^{2m+1})$. If $k = 2\ell > 2m + 1$, we find a single $\mathcal{A}^{2\ell}$ -orbit $(x^2, y^2 + x^{2m+1})$, by the same coordinate changes as above; and if $k = 2\ell + 1 > 2m + 1$, we can reduce to $(x^2 + a_{0,2\ell+1} y^{2\ell+1}, y^2 + x^{2m+1})$ leading to two $\mathcal{A}^{2\ell+1}$ -orbits given by $a_{0,2\ell+1} = 0, 1$. Now, $I_{2,2}^{\ell,m}$ is $(2\ell + 1)$ -determined, again by [dP, Example 3.18]. Finally, we check that

$$k\{(x, 0), (y^{2i+1}, 0), (0, y), (0, x^{2j+1}) : \ell > i \in \mathbf{N}, m > j \in \mathbf{N}\}$$

forms a free basis for $m_n \cdot \theta_f / T\mathcal{A} \cdot f$, where $f = I_{2,2}^{\ell,m}$, which proves the proposition. \square

2.2 Classification of \mathcal{A} -orbits contained in $\mathcal{K}(x^2 - y^2, xy)$ over \mathbb{R}

Proposition 2.2.1 *Any germ contained in the \mathcal{K} -orbit of $(x^2 - y^2, xy)$ is \mathcal{A} -equivalent to some member of the series*

$$II_{2,2}^\ell = (x^2 - y^2 + x^{2\ell+1}, xy), \quad \ell \geq 1.$$

The $II_{2,2}^\ell$ are $(2\ell + 1)$ -determined, and $\text{cod}(\mathcal{A}, II_{2,2}^\ell) = 2(\ell + 1)$.

Proof. Let $f = (x^2 - y^2 + \sum a_{i,j} x^i y^j, xy + \sum b_{i,j} x^i y^j)$, where $\sum a_{i,j} x^i y^j$ and $\sum b_{i,j} x^i y^j$ are forms of degree 2ℓ , denote a 2ℓ -jet over $(x^2 - y^2, xy)$. One easily checks that $m_n^{2\ell}/m_n^{2\ell+1}.\theta_f \subset T\mathcal{A}^{2\ell}.f$, independent of the $a_{i,j}$ and $b_{i,j}$'s, which, by Mather's Lemma [Ma IV], implies that $j^{2\ell}f = (x^2 - y^2, xy)$ is the only $\mathcal{A}^{2\ell}$ -orbit over $j^{2\ell-1}f = (x^2 - y^2, xy)$.

There are two $\mathcal{A}^{2\ell+1}$ -orbits over $j^{2\ell}f = (x^2 - y^2, xy)$. Take f as above but with monomials $x^i y^j$ of degree $2\ell + 1$. Working modulo elements of $m_n^{2\ell+2}$, we can reduce f by right-coordinate changes to $f = (x^2 - y^2, xy + \mu(a_{i,j}, b_{i,j})xy^{2\ell} + \gamma(a_{i,j}, b_{i,j})y^{2\ell+1})$, where μ and γ are linear forms. (The coordinate changes $\bar{x} = x - \frac{1}{2}(a_{2\ell+1,0}x^{2\ell} + \dots + a_{1,2\ell})y^{2\ell}$ and $\bar{y} = y + \frac{1}{2}a_{0,2\ell+1}y^{2\ell}$ remove the terms of degree $2\ell + 1$ from the first component function. The pairs of successive coordinate changes

$$\bar{y} = y - b_{2\ell+1,0}x^{2\ell}, \quad \bar{x} = x + b_{2\ell+1,0}x^{2\ell-1}$$

$$\bar{y} = y - (b_{2\ell,1} - \frac{1}{2}a_{2\ell+1,0})x^{2\ell-1}y, \quad \bar{x} = x + (b_{2\ell,1} - \frac{1}{2}a_{2\ell+1,0})x^{2\ell-2}y^2$$

⋮

$$\bar{y} = y - \phi(a_{i,j}, b_{i,j})xy^{2\ell-1}, \quad \bar{x} = \bar{x} + \phi(a_{i,j}, b_{i,j})y^{2\ell},$$

where ϕ is some linear form, yield the above $(2\ell + 1)$ -jet f .

The linear forms μ and γ do, together, involve all the coefficients $a_{i,j}, b_{i,j}$ (this can be seen by inspecting the coordinate changes more carefully). Considering the elements of $T\mathcal{A}^{2\ell+1}.f$ that generate $m_n^{2\ell+1}/m_n^{2\ell+2}.\theta_f$ leads to the following $4(\ell + 1) \times 4(\ell + 1)$ matrix:

a result of du Plessis [dP, Cor.3.9], f is $(2\ell + 2)$ -determined. But there is only a single $\mathcal{A}^{2\ell+2}$ -orbit over f so that the exact order of determinacy is $2\ell + 1$.

Finally, one checks that

$$\mathbf{R}\{(x, 0), (y, 0), (0, xy^{2i}), (0, y^{2i+1}) : \ell > i \in \mathbf{N}\}$$

forms a free basis for $m_n \cdot \theta_f / T\mathcal{A} \cdot f$, which implies that $\text{cod}(\mathcal{A}, II_{2,2}^\ell) = 2(\ell + 1)$ — the proposition now follows. \square

2.3 Other \mathcal{K} -orbits of type $\Sigma^{2,0}$ do not contain \mathcal{A} -simple orbits

We first recall the classifications of \mathcal{K} -simple germs. In the complex case, these results were obtained by Dimca and Gibson [D] ($n = p = 2$), and by Giusti [G] and Wall [W] (complete intersections, $p = 2, n > p$). The real case was treated earlier by Mather [M] and Damon [Da] ($n \leq p$).

When $n = p = 2$, the following theorem summarizes the results:

Theorem 2.3.1 ([D],[M],[Da]) *Let A denote the set of all \mathcal{K} -finite germs $f : (k^2, 0) \rightarrow (k^2, 0)$, $k = \mathbf{R}$ or \mathbf{C} . Then any \mathcal{K} -simple germ in A is \mathcal{K} -equivalent to exactly one of the germs in the following list:*

Boardman Symbol	Mather's Notation	Complex Notation	Normal Form	Condition	$\text{cod}(\mathcal{K}, -)$
Σ^1	A_k	A_k	(x, y^{k+1})	$k \geq 0$	k
$\Sigma^{2,0}$	$I_{k,\ell}$	$B_{k,\ell}$	$(xy, x^k + y^\ell)$	$2 \leq k \leq \ell$	$k + \ell$
	$II_{k,\ell}$		$(xy, x^k - y^\ell)$	$2 \leq k \leq \ell$	$k + \ell$
	IV_k		$(x^2 + y^2, x^k)$	$3 \leq k$	$2k$
$\Sigma^{2,1}$	Group I	C_4	(x^2, y^4)		10
		$E_{3,k}$	$(x^2 + y^3, y^k)$	$k \geq 3$	$2k + 1$
		$F_{3,k}$	$(x^2 + y^3, xy^{k-1})$	$k \geq 4$	$2k + 3$
$\Sigma^{2,1}$	Group II	$F_{k,2}$	$(x^2 + y^k, xy^2)$	$k \geq 3$	$k + 5$
			$(x^2 - y^k, xy^2)$	$k \geq 3$	$k + 5$

TABLE 1

Remark 2.3.2

(i) In the complex case $I_{k,\ell} \sim II_{k,\ell}$ and $IV_k \sim I_{k,k}$.

(ii) The germs in group II, $(x^2 \pm y^k, xy^2)$ are equivalent as complex germs.

The adjacencies between the equidimensional real map-germs of type Σ^1 and $\Sigma^{2,0}$ were described by Les Lander [L., Theorem 2.1], and we recall them in Figure 1 .

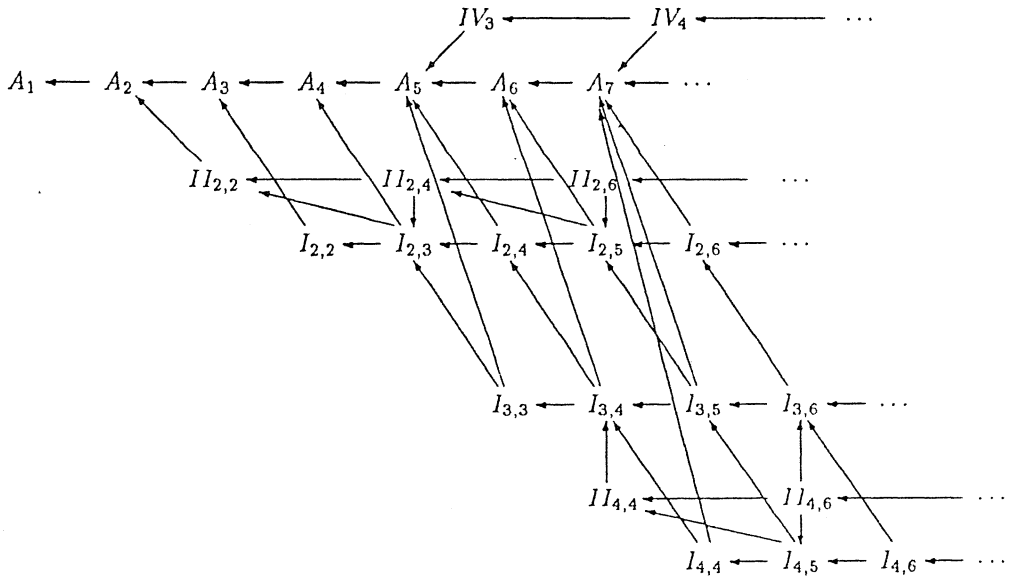


Figure 1: Adjacencies of real \mathcal{K} -orbits of types Σ^1 and $\Sigma^{2,0}$



By forgetting in Figure 1 the singularities of type $II_{k,\ell}$ and IV_k , and all the arrows meeting them, we obtain the diagram of adjacencies between complex germs of type Σ^1 and $\Sigma^{2,0}$. (Figure 2) (See [G]).

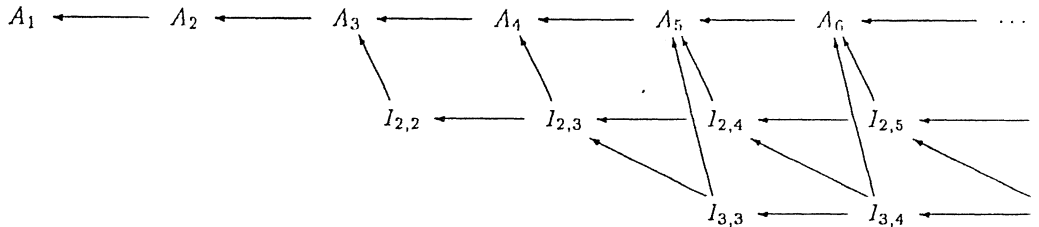


Figure 2: Adjacencies of \mathcal{K} -orbits of complex germs of types Σ^1 and $\Sigma^{2,0}$

To show that all \mathcal{A} -simple germs of type $\Sigma^{2,0}$ are within $I_{2,2}$, we shall need the following lemmas:

Lemma 2.3.3 *The \mathcal{A} -orbits within $I_{2,3}$ are all non-simple.*

Proof. We show that there is no open \mathcal{A} -orbit within $\mathcal{K}(x^2 + y^3, xy)$, which will imply that this \mathcal{K} -orbit is filled up entirely with non-simple \mathcal{A} -orbits.

After some simple coordinate changes, we may assume that any \mathcal{A} -finitely determined germ in $I_{2,3}$ has the form:

$$(x^2 + y^3 + axy^2 + by^3 + cy^4 + \Phi(x, y), xy), \quad \Phi \in m_2^4$$

One easily checks that $m_2^3\theta_f \subset T\mathcal{K}.f$.

Now, the relevant relations in $T\mathcal{A}^4.f$ are given by $tf(x^i y^j, 0)$, $tf(0, x^i y^j)$, $i + j = 1, 2, 3$, $wf(X, 0)$, $wf(Y, 0)$, $wf(0, X)$, $wf(0, Y)$.

Thus there are only 22 generators for the vector subspace $m_2^3\theta_f/m_2^5\theta_f$, which has dimension 24. In particular, $T\mathcal{A}^4.f \not\supseteq m_2^3\theta_f/m_2^5\theta_f$, and the modality of the \mathcal{A} -orbit of f within $\mathcal{K}(x^2 + y^3, xy)$ is greater than one. \square

Lemma 2.3.4 *The \mathcal{A} -orbits within IV_3 are all non-simple.*

Proof. Any f in $\mathcal{K}(x^2 + y^2, x^3)$ is \mathcal{A} -equivalent to:

$$(x^2 + y^2, x^3 + p(x, y)) , \quad p \in m_2^3\theta_f$$

We proceed as in Lemma 2.3.1 to show that the \mathcal{A} -orbit of f cannot be open in $\mathcal{K}(x^2 + y^2, x^3)$.

We have: $m_2^3\theta_f \subset T\mathcal{K}.f$.

It is easy to see that $T\mathcal{A}^3.f \supseteq k\{(x^i y^j, 0), i + j = 3\}$. However $tf(x, 0)$, $tf(y, 0)$, $tf(0, x)$, $tf(0, y)$, $wf(X, 0)$ and $wf(0, Y)$ give only two relevant relations “downstairs”:

$$(0, Y) \text{ and } (0, \Delta) \quad (\text{Mod } m_2^4\theta_f) ,$$

where $\Delta = -6x^2y + 2xp_y - 2yp_x$.

Therefore, $T\mathcal{A}^3.f \not\supseteq m_2^3\theta_f/m_2^4\theta_f$, and \mathcal{A} -modality of f is greater or equal to one. \square

Using Lemmas 2.3.3 and 2.3.4 and the diagrams in Figures 1 and 2, we get the following result.

Proposition 2.3.5 *Let $f : (k^2, 0) \rightarrow (k^2, 0)$, ($k = \mathbf{R}, \mathbf{C}$) be an \mathcal{A} -finitely determined germ of type $\Sigma^{2,0}$. If f is \mathcal{A} -simple then the \mathcal{K} -orbit of f is of type $I_{2,2}$ or $II_{2,2}$.*

We consider now the case $n \geq 3$, $p = 2$.

It is well known that if $n \geq 4$, the \mathcal{K} -modality of a pair of quadrics is greater or equal to one ([W,1]). Therefore, we only have to consider the case $n = 3$, $p = 2$.

In the complex case, there is only one \mathcal{K} -orbit of type $\Sigma^{2,0}$, whose normal form is $(x^2 + y^2, y^2 + z^2)$.

Proposition 2.3.6 *Any \mathcal{A} -orbit of a finitely determined germ within $\mathcal{K}(x^2 + y^2, y^2 + z^2)$ is at least 1-modal.*

Proof. Let $f : (\mathbb{C}^3, 0) \rightarrow (\mathbb{C}^2, 0)$ be any \mathcal{A} -finitely determined germ within $\mathcal{K}(x^2 + y^2, y^2 + z^2)$. Then, with simple coordinate changes, $j^3 f$ can be reduced to:

$$(x^2 + y^2 + ax^3 + cz^3, x^2 + z^2 + by^3)$$

As before, the result follows from the information given by $T\mathcal{K}^3.f$ and $T\mathcal{A}^3.f$:

- i) $T\mathcal{K}.f + m_2^4\theta_f \supset m_2^3\theta_f$.
- ii) Inspecting $T\mathcal{A}^3.f$ we see that the elements of degree three are given by $tf(x, 0, 0)$, $tf(0, y, 0)$, $tf(0, 0, z)$, $\frac{1}{3}[tf(x, y, z) - 2wf(X, 0) - 2wf(0, Y)]$ and by $J(f).m_2$ (where $J(f)$ is the Jacobian ideal) (Mod $m_2^4\theta_f$).

They generate the following subspace of $m_2^3/m_2^4\theta_f$:

\mathbb{C} { all mixed terms of degree three, (x^3, x^3) , $(y^3, 0)$, $(0, z^3)$ and $(ax^3 + cz^3, by^3)$ } (Mod $m_2^4\theta_f$).

Hence $T\mathcal{A}^3.f \not\supset m_2^3\theta_f$, and comparing with i) we get the result. \square

Remark 2.3.7 $\mathcal{K}(x^2 + y^2, y^2 + z^2)$ splits into various real orbits. These real \mathcal{K} -orbits do not have open \mathcal{A} -orbit either. In fact, if the condition

$$tf(m_2\theta_2) + f^*(m_2)\theta_f = tf(m_2\theta_2) + wf(m_2\theta_2)$$

were true for any such real germ, we should have:

$$tfC(m_2\theta_2) + f^*(m_2)\theta_f = tfC(m_2\theta_2) + wfC(m_2\theta_2) ,$$

where fC is its complexification. This clearly contradicts the above lemma.

The following proposition summarizes the discussion:

Proposition 2.3.8 Let $f : (k^n, 0) \rightarrow (k^2, 0)$, $n \geq 3$, ($k = \mathbb{R}, \mathbb{C}$) be any \mathcal{A} -finitely determined germ of type $\Sigma^{2,0}$. Then f is non simple.

2.4 \mathcal{A} -determinacy of $\Sigma^{2,0}$ -germs

We will obtain partial normal forms for corank 2, \mathcal{A} -finitely determined germs $f : k^n, 0 \rightarrow k^2, 0$, with non-degenerate 2-jet.

Following Wall, [W,2], we define



Definition 2.4.1 For any map-germs with the same target $f : k^s, 0 \rightarrow k^p, 0$ $g : k^t, 0 \rightarrow k^p, 0$ ($k = \mathbf{R}$ or \mathbf{C}) let $f \oplus g : k^{s+t}, 0 \rightarrow k^p, 0$ be defined by

$$(f \oplus g)(x, y) = f(x) + g(y)$$

Definition 2.4.2 Let $f : k, 0 \rightarrow k^2, 0$ be defined by

$$f(x) = (x^2 + Ax^{2\ell+1}, ax^2 + Bx^{2m+1}).$$

We say that f is adequate if one of the following conditions holds:

- (a) $\ell = m$ and $\det \begin{vmatrix} 1 & A \\ a & B \end{vmatrix} \neq 0$
- (b) $\ell \neq m$ and $\det \begin{vmatrix} 1 & A \\ a & 0 \end{vmatrix} \neq 0$ or $\det \begin{vmatrix} 1 & 0 \\ a & B \end{vmatrix} \neq 0$

We define the germs

$$\begin{aligned} f_i : k, 0 &\rightarrow k^2, 0 \\ x_i &\mapsto (x_i^2 + A_i x_i^{2\ell_i+1}, a_i x_i^2 + B_i x_i^{2m_i+1}), \end{aligned}$$

$i = 1, \dots, n$, and denote by $f : k^n, 0 \rightarrow k^2, 0$ the map-germ:

$$f(x) = \bigoplus_{i=1}^n f_i(x_i) = \left(\sum_{i=1}^n x_i^2 + \sum_{i=1}^n A_i x_i^{2\ell_i+1}, \sum_{i=1}^n a_i x_i^2 + \sum_{i=1}^n B_i x_i^{2m_i+1} \right)$$

Then, we have the following proposition:

Proposition 2.4.3 Let $f_i : k, 0 \rightarrow k^2, 0$ be adequate map-germs for all $i = 1, \dots, n$. If $a_i \neq a_j$ for $i \neq j$, then the map germ $f : k^n, 0 \rightarrow k^2, 0$, $f(x) = \bigoplus_{i=1}^n f_i(x_i)$ is \mathcal{A} -finitely determined. Furthermore, any \mathcal{A} -finitely determined germ g of type $\Sigma^{2,0}$, \mathcal{K} -equivalent to $(\sum_{i=1}^n x_i^2, \sum_{i=1}^n a_i x_i^2)$, is \mathcal{A} -equivalent to a sum $g = \bigoplus_{i=1}^n g_i$, where $g_i : (k, 0) \rightarrow (k^2, 0)$ is defined by $g_i(x_i) = (x_i^2 + p_i(x_i), a_i x_i^2 + q_i(x_i))$, p_i and q_i being polynomials of degree ≥ 3 .

To prove this proposition we shall need the following lemmas:

Lemma 2.4.4 Let $j^2 f = (\sum_{i=1}^n x_i^2, \sum_{i=1}^n a_i x_i^2)$. Then if $a_i \neq a_j$ for $i \neq j$, the following relations hold:

$$(i) \quad tf(m_n^2\theta_n) + f^*(m_2)m_n\theta_f + m_n^4\theta_f = m_n^3\theta_f \quad (\text{Hence } f \text{ is } 2 - \mathcal{K}\text{-determined}).$$

$$(ii) \quad J(f).m_n^{2k-2} + f^*(m_2^k)C_n + m_n^{2k+1} = m_n^{2k}, \quad \forall k \geq n-1.$$

Proof. We have: $J(f) \supset \{ \text{all mixed terms of degree 3} \} \pmod{m_n^4}$. Furthermore, $\forall j, f_1.x_j = x_j^3 \pmod{m_n^4 + J(f)}$ (where f_1 denotes the first component of f).

Hence $J(f).m_n + f^*(m_2)C_n + m_n^4 = m_n^3$, which implies condition (i).

Now, the generators of $f^*(m_2^{n-1})C_n \pmod{J(f).m_n^{2n-4} + m_n^{2n-1}}$ give the matrix:

	x_1^{2n-2}	x_2^{2n-2}	x_3^{2n-2}	x_n^{2n-2}
f_1^{n-1}	1	1	1	1
$f_1^{n-2}f_2$	a_1	a_2	a_3	a_n
$f_1^{n-3}f_2^2$	a_1^2	a_2^2	a_3^2	a_n^2
\vdots					
\vdots					
\vdots					
f_2^{n-1}	a_1^{n-1}	a_2^{n-1}	a_3^{n-1}	a_n^{n-1}

The condition $a_i \neq a_j$ implies that this matrix has maximal rank.

Hence, we obtain (ii). \square

Lemma 2.4.5 *If*

$$(a) \quad f^*(m_p^k)\theta_f \subseteq tf(m_n^{2k-1}\theta_n) + wf(m_p^k\theta_p) + m_n^s\theta_f, \quad i \leq k \leq s$$

and

$$(b) \quad m_n^s\theta_f \subseteq tf(m_n^{2k+1}\theta_n) + f^*(m_p^{k+1})\theta_f + m_n^{s+1}\theta_f$$

Then f *is* $(s-1)$ - \mathcal{A} -*determined.*

Proof. This lemma is a slight variant of Prop. 3.8 in [dP].

Let g be such that $j^{s-1}g(0) = j^{s-1}f(0)$.

Then g satisfies conditions (a) and (b):

$$(a) \quad g^*(m_p^k)\theta_g \subseteq tg(m_n^{2k-1}\theta_n) + wg(m_p^k\theta_p) + m_n^s\theta_g$$

and

$$(b) \quad m_n^s\theta_g \subseteq tg(m_n^{2k+1}\theta_n) + g^*(m_p^{k+1})\theta_g + m_n^{s+1}\theta_g$$

$$\text{Let } E = \frac{tg(m_n^{2k+1}\theta_n) + g^*(m_p^{k+1})\theta_g + m_n^s\theta_g}{tg(m_n^{2k+1}\theta_n) + g^*(m_p^{k+1})\theta_g + m_n^{2s+2}\theta_g} .$$

Then E is a C_n -module and using (b) we obtain that $m_n E = E$. Hence,

$$\begin{aligned} m_n^s\theta_g &\subset tg(m_n^{2k+1}\theta_n) + g^*(m_p^{k+1})\theta_g + m_n^{2s+2}\theta_g = \\ &tg(m_n^{2k+1}\theta_n) + g^*(m_p^k) \cdot g^*(m_p)\theta_g + m_n^{2s+2}\theta_g . \end{aligned}$$

Now, substituting for $g^*(m_p^k)$, we get:

$$m_n^s\theta_g \subset tg(m_n^{2k+1}\theta_n) + wg(m_p^{k+1}\theta_p) + g^*(m_p) \cdot m_n^s\theta_g + m_n^{2s+2}\theta_g .$$

$$\text{Let } E' = \frac{tg(m_n^{2k+1}\theta_n) + wg(m_p^{k+1}\theta_p) + m_n^s\theta_g}{tg(m_n^{2k+1}\theta_n) + wg(m_p^{k+1}\theta_p) + m_n^{2s+2}\theta_g} .$$

E' is a finitely generated $g^*(m_p)$ -module and

$$g^*(m_p) \cdot E' = E' \implies$$

$$m_n^s\theta_g \subset tg(m_n^{2k+1}\theta_n) + wg(m_p^{k+1}\theta_p) + m_n^{2s+2}\theta_g .$$

Since this holds for all g with the same $s-1$ -jet as f , it follows that f is $s-1$ - \mathcal{A} -determined. \square

Proof of the Proposition 2.4.1. Let

$$f(x) = \bigoplus_{i=1}^n f_i(x_i) = \left(\sum_{i=1}^n x_i^2 + \sum_{i=1}^n A_i x_i^{2\ell_i+1}, \sum_{i=1}^n a_i x_i^2 + \sum_{i=1}^n B_i x_i^{2m_i+1} \right)$$

then, since $a_i \neq a_j$, Lemma 2.4.4 applies, f is $2\mathcal{K}$ -determined and

$$J(f) \cdot m_n^{2k-2} + f^*(m_2^k)C_n + m_n^{2k+1} = m_n^{2k}, \quad \forall k \geq n-1 \quad (*)$$

We can obtain the following elements in $T\mathcal{A}.f$:

$$(i) \quad tf(x_1, \dots, x_n) - 2wf(X_1, 0) - 2wf(0, X_2) = \left(\begin{array}{c} \sum_{i=1}^n (2\ell_i - 1) A_i x_i^{2\ell_i+1} \\ \sum_{i=1}^n (2m_i - 1) B_i x_i^{2m_i+1} \end{array} \right)$$

$$(ii)_j \quad tf(0, \dots, x_j^s, 0, \dots, 0) = \left(\begin{array}{c} 2x_j^{s+1} \\ 2a_j x_j^{s+1} \end{array} \right) \pmod{m_n^{s+2}\theta_f}, \quad \forall j = 1, \dots, n$$

From (*) and (i), we get, for all $k \geq n - 1$:

$$(iii)_j \quad \left(\begin{array}{c} \sum_{i=1}^n (2\ell_i - 1) A_i x_i^{2\ell_i+1} \\ \sum_{i=1}^n (2m_i - 1) B_i x_i^{2m_i+1} \end{array} \right) \cdot x_j^{2k} = \left(\begin{array}{c} (2\ell_j - 1) A_j x_j^{2(\ell_j+k)+1} \\ (2m_j - 1) B_j x_j^{2(m_j+k)+1} \end{array} \right)$$

belong to $T\mathcal{A}(f) \pmod{m_n^{2(r_j+k)+2}\theta_f}$, where $r_j = \text{Max}\{\ell_j, m_j\}$.

Since, by hypothesis, $f_j(x_j) = (x_j^2 + A_j x_j^{2\ell_j+1}, a_j x_j^2 + B_j x_j^{2m_j+1})$ is *adequate*, we can use (ii)_j and (iii)_j with convenient choices of s in (ii)_j, and of k in (iii)_j to obtain:

$$\left\{ \left(\begin{array}{c} x_j^{2(r_j+k)+1} \\ 0 \end{array} \right), \left(\begin{array}{c} 0 \\ x_j^{2(r_j+k)+1} \end{array} \right) \right\} \in T\mathcal{A}.f(\text{Mod } m_n^{2(r_j+k)+2}\theta_f),$$

$\forall j = 1, \dots, n$ and $k \geq n - 1$.

If $r = \max_{1 \leq j \leq n} \{r_j\}$, then we also have:

$$\left\{ \left(\begin{array}{c} x_j^{2(r+n-1)+1} \\ 0 \end{array} \right), \left(\begin{array}{c} 0 \\ x_j^{2(r+n-1)+1} \end{array} \right) \right\} \in T\mathcal{A}.f(\text{Mod } m_n^{2(r+n-1)+2}\theta_f).$$

Hence, the conditions (a) and (b) of Lemma 2.4.5 are verified for f , and taking

$$s = 2(r + n - 1) + 2 = 2r + 2n = 2(r + n), \text{ and } k = r + n - 1,$$

it follows that f is $(2(r + n) - 1) - \mathcal{A}$ -determined.

To prove the last part of the proposition, we consider an \mathcal{A} -finitely determined germ g , where $j^2 g = (\sum_{i=1}^n x_i^2, \sum_{i=1}^n a_i x_i^2)$.

Suppose that $j^{\ell-1} g$ has no mixed terms.

Now, since $J(f).m_n^{\ell-2} \supseteq \{ \text{all mixed terms of degree } \ell \} \pmod{m_n^{\ell+1}}$, we see that $j^\ell g$ is $\mathcal{R}_{\ell-1}^\ell$ -equivalent to $(\sum_{i=1}^n x_i^2 + p_i(x_i), \sum_{i=1}^n a_i x_i^2 + q_i(x_i))$ where $3 \leq \text{degree } p_i \leq \ell$, $3 \leq \text{degree } q_i \leq \ell$, ($\mathcal{R}_{\ell-1}^\ell$ is the subgroup of \mathcal{R}^ℓ consisting of diffeomorphisms $h : (\mathbf{R}^n, 0) \rightarrow (\mathbf{R}^n, 0)$ such that $j^{\ell-1} h(0) = j^{\ell-1} I_d$).

To complete the proof, we repeat the argument for all $\ell \leq$ the degree of \mathcal{A} -determinacy of g . \square

3 Adjacencies of \mathcal{A} -simple $\Sigma^{2,0}$ -germs $f : k^2, 0 \rightarrow k^2, 0$

The adjacencies between \mathcal{A} -simple Σ^1 -germs from \mathbf{C}^2 to \mathbf{C}^2 are shown in [Rie]. For corank 2 germs we have the following

Proposition 3.1 *Figures A and B show the adjacencies of \mathcal{A} -simple $\Sigma^{2,0}$ -germs $f : k^2, 0 \rightarrow k^2, 0$ for $k = \mathbf{C}$ and $k = \mathbf{R}$, respectively. (To denote \mathcal{A} -classes we use the notation of Propositions 1.3 and 2.1).*

Proof. As in [Rie] we use three invariants $m(f)$, $c(f)$, and $d(f)$, which are upper-semicontinuous under deformation, to rule out certain adjacencies. Let Σ and Δ denote the critical set and the discriminant of f , which are both germs of plane curves, and let $\delta(C)$ denote the well-known δ -invariant of a germ of a plane curve C (see [Mi]). The three invariants of f can then be calculated as follows: $m(f) = \dim_k C_n / f^* m_p$, $c(f) = \dim_k C_n / I$ (where $I =$ ideal defined by the vanishing of 2×2 minors of $\begin{bmatrix} Df \\ \nabla |Df| \end{bmatrix}$), and $d(f) = \delta(\Delta) - \delta(\Sigma) - c(f)$. (For germs $f : \mathbf{C}^2, 0 \rightarrow \mathbf{C}^2, 0$ these invariants have the following geometrical meaning: $m(f)$ is the number of preimages of a target point off the discriminant Δ of f ; and $c(f)$ and $d(f)$ are the numbers of cusps and transverse fold crossings of a generic deformation of f).

For Σ^1 -germs these invariants have been calculated in [Rie] and for the \mathcal{A} -simple $\Sigma^{2,0}$ -germs of the classification in §2 we have the following

Lemma 3.2 *The invariants m, c , and d associated with the members of the series of germs $I_{2,2}^{\ell,m}$ and $II_{2,2}^{\ell}$ have the values:*

$$m(I_{2,2}^{\ell,m}) = 4, \quad c(I_{2,2}^{\ell,m}) = 3, \quad d(I_{2,2}^{\ell,m}) = \ell + m;$$

and

$$m(II_{2,2}^{\ell}) = 4, \quad c(II_{2,2}^{\ell}) = 3, \quad d(II_{2,2}^{\ell}) = 2\ell.$$

These expressions also make sense for the "stems" of these series $I_{2,2}^{\infty,\infty} = (x^2, y^2)$ and $II_{2,2}^{\infty} = (x^2 - y^2, xy)$.

Proof. These are just a trivial calculation. Note that the critical sets and the discriminants of the germs $I_{2,2}^{\ell,m}$ consist of two branches, so that $\delta(\Sigma)$ and $\delta(\Delta)$ are sums of δ -invariants of each branch and the (local) intersection numbers of the branch pairs. Also note that, as complex-analytic germs, $II_{2,2}^{\ell} \sim I_{2,2}^{\ell,\ell}$ (and that the dimensions of the relevant local algebras are not altered by complexifying).

Also notice that the Milnor number μ of the critical sets of the germs $I_{2,2}^{\ell,m}$ and $II_{2,2}^{\ell}$ is equal to one. The upper semicontinuity of these invariants and the adjacencies of \mathcal{K} -classes described in §2.3, together with the following lemma, conclude the proof of Proposition 3.1.

Lemma 3.3

- (i) $k = \mathbf{C}$: the degenerate mono-germs in a versal deformation of $I_{2,2}^{1,1}$ are of type 4_2 ;
and
- (ii) $k = \mathbf{R}$: the degenerate mono-germs in a versal deformation of $I_{2,2}^{1,1}$ are of type 4_2^- ,
and there are no degenerate mono-germs in a deformation of $II_{2,2}^1$.

Proof. We consider \mathcal{A} -versal unfoldings $F : k^d \times k^2, 0 \rightarrow k^d \times k^2, 0$, given by $F(u, x, y) = (u, f(u, x, y))$, where $f(0, x, y) = I_{2,2}^{2,2}$ or $II_{2,2}^2$. The set $B := \{u \in (k^d, 0) : c(f(u, 0, 0)) \geq 2\}$ gives all degenerate mono-germs in a deformation of $I_{2,2}^{1,1}$ or $II_{2,2}^1$, because $c \geq 2$ for any degenerate mono-germ of the plane and the origins in source and target are preserved under \mathcal{A} . Let $m_1(x, y)$, $m_2(x, y)$, and $m_3(x, y)$ denote the determinants of the 2×2 minors of $\begin{bmatrix} Df(u, x, y) \\ \nabla | Df(u, x, y) | \end{bmatrix}$, where D is the differential of f with respect to x and y .

Now $c(f(u, 0, 0)) = \dim_k C_n / (m_1, m_2, m_3) \geq 2$ if and only if

$$m_1(0, 0) = m_2(0, 0) = m_3(0, 0) = 0$$

and the 2×2 minors of

$$\begin{bmatrix} \partial m_1(0, 0) / \partial x & \partial m_1(0, 0) / \partial y \\ \partial m_2(0, 0) / \partial x & \partial m_2(0, 0) / \partial y \\ \partial m_3(0, 0) / \partial x & \partial m_3(0, 0) / \partial y \end{bmatrix}$$

vanish. The six equations define an ideal I in $k[u_1, \dots, u_d]$.

First, consider the \mathcal{A} -versal deformation $f(u, x, y) = (u, x^2 + y^3 + u_1x + u_2y, y^2 + x^3 + u_3x + u_4y)$ of $I_{2,2}^{1,1}$. One calculates that $I = (u_1u_3 - u_4^2, u_1u_4 - u_2u_3, u_1^2 - u_2u_4, u_4(3u_1u_3 + 2u_2) + u_1(4u_1 + 3u_2^2), u_4(3u_3^2 + 4u_4) + u_1(2u_3 + 3u_2u_4), -(4u_1 + 3u_2^2)(3u_3^2 + 4u_4) + (3u_1u_3 + 2u_2)(2u_3 + 3u_2u_4))$, and, calculating a standard basis for I with respect to some lexicographical ordering of the variables in $k[u_1, \dots, u_4]$, one finds the following set of degenerate mono-germs: $B = \{u \in (k^4, 0) : u_1 = u_4 = u_2u_3 = 0\}$. Now, by direct coordinate changes, $f(0, u_2, 0, 0, x, y) \sim 4_2^-$ for $u_2 \in \mathbf{R} - \{0\}$ and $f(0, 0, u_3, 0, x, y) \sim 4_2^-$ for $u_3 \in \mathbf{R} - \{0\}$ (in the case $k = \mathbf{R}$) , and $f(0, u_2, 0, 0, x, y) \sim f(0, 0, u_3, 0, x, y) \sim 4_2$ for $u_2, u_3 \in \mathbf{C} - \{0\}$ (for $k = \mathbf{C}$) .

Finally, we consider the \mathcal{A} -versal deformation $f(u, x, y) = (x^2 - y^2 + x^3 + u_1x + u_2y, xy + u_3x + u_4y)$ of the real germ $II_{2,2}^1$. Repeating the calculations above, one

finds that $B = \{ u \in (\mathbb{R}^4, 0) : u_1 = u_2 = u_3 = u_4 = 0 \}$. Hence there are no degenerate mono-germs in a versal deformation of $II_{2,2}^1$, and the lemma follows.

Proof of Proposition 3.1; conclusion. Lemma 3.3 says that $I_{2,2}^{1,1}$ and $II_{2,2}^1$ are not adjacent to the Σ^1 -germ $(x, xy + y^4)$, which is the open \mathcal{A} -orbit in the \mathcal{K} -class A_3 . From the adjacencies in [Rie] of Σ^1 -germs it follows that none of the germs $I_{2,2}^{\ell,m}$ and $II_{2,2}^\ell$ is adjacent to some \mathcal{A} -orbit in A_3 . Finally, one checks that $II_{2,2}^1 \rightarrow 3$. \square

(1) $k = \mathbb{C}$

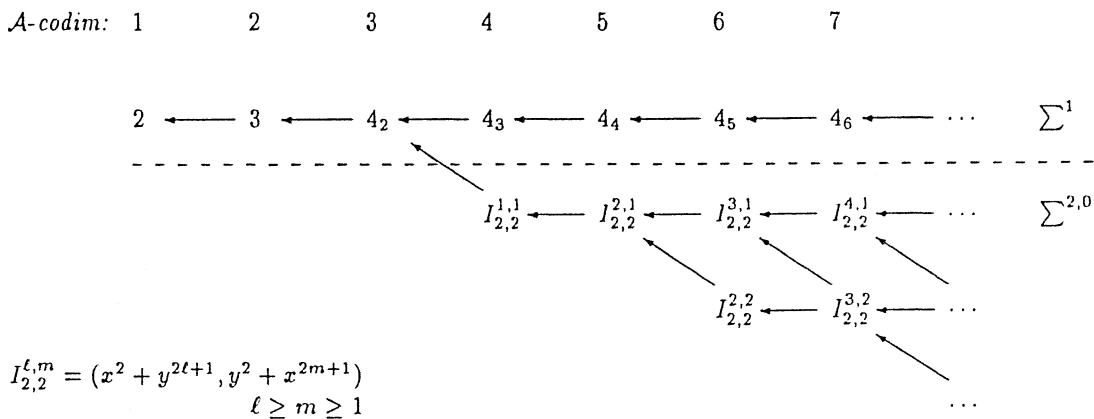


Fig. A

(2) $k = \mathbf{R}$

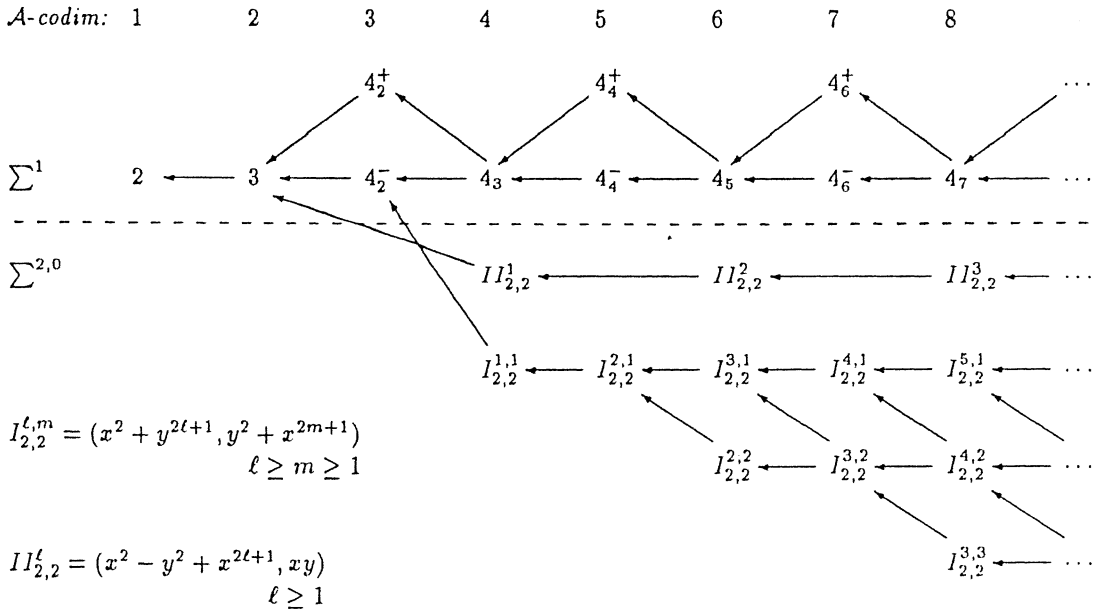


Fig. B

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