

Valuations compatible with a projection

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Given an N -dimensional germ of analytic hypersurface \mathcal{H} , a finite projection $\pi : \mathcal{H} \rightarrow \mathbb{C}^N$ and a valuation ν on the ring the ring of convergent series in N variables, we study the valuations on the ring $\mathcal{O}_{\mathcal{H}}$ that extend $\pi^*\nu$. All these valuations are described when ν is a monomial valuation whose weight vector is not orthogonal to any of the faces of the Newton Polyhedron of the discriminant of the projection π . This description is done in terms of the Puiseux parameterizations of \mathcal{H} with exponents in a cone. October, 2004 ICMC-USP

1. INTRODUCTION

Let \mathcal{H} be an irreducible germ of analytic hypersurface at the origin in \mathbb{C}^{N+1} , let $\pi : \mathcal{H} \rightarrow (\mathbb{C}^N, 0)$ be a finite projection and denote by \mathcal{R} the ring of convergent series in N variables. Given a valuation $\nu_{\sim} : \mathcal{R} \rightarrow \mathbb{R}_{\geq 0} \cup \{\infty\}$, we want to describe all the valuations $\nu : \mathcal{O}_{\mathcal{H}} \rightarrow \mathbb{R}_{\geq 0} \cup \{\infty\}$ that *extend* ν_{\sim} . That is, that make the diagram

$$\begin{array}{ccc}
 \mathcal{O}_{\mathcal{H}} & \xrightarrow{\nu} & \mathbb{R}_{\geq 0} \cup \{\infty\} \\
 \swarrow \pi^* & & \nearrow \nu_{\sim} \\
 & \mathcal{R} &
 \end{array} \tag{1}$$

commute.

In this note all these valuations are described when ν_{\sim} is a monomial valuation whose weight vector is not orthogonal to any of the faces of the Newton Polyhedron of the discriminant of the projection π . This description is done in terms of the Puiseux parameterizations of \mathcal{H} .

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In all what follows \mathcal{H} will be a germ of analytic hypersurface embedded in $(\mathbb{C}^{N+1}, 0)$ defined by $F(x_1, \dots, x_N, y) = 0$, where $F = y^d + a_{d-1}y^{d-1} \dots + a_0$ is an irreducible polynomial of degree d in y and $a_i \in \mathcal{R}$. The projection

$$\begin{aligned} \pi : \quad \mathcal{H} &\longrightarrow \mathbb{C}^N \\ (x_1, \dots, x_N, y) &\mapsto (x_1, \dots, x_N) \end{aligned} \quad (2)$$

will be supposed to be finite. The discriminant of the projection π will be denoted by δ . That is

$$\delta(x_1, \dots, x_N) = \text{Resultant}_y(F, \frac{\partial}{\partial y} F).$$

The ideal of $\mathcal{R}[y]$ generated by F will be denoted by \mathcal{I} . So that $\mathcal{O}_{\mathcal{H}} = \frac{\mathcal{R}[y]}{\mathcal{I}}$ and π^* is the natural inclusion $\mathcal{R} \hookrightarrow \frac{\mathcal{R}[y]}{\mathcal{I}}$.

2. MONOMIAL VALUATIONS

Given a Laurent series $\varphi = \sum_{\alpha \in \mathbb{Z}^N} a_{\alpha} x^{\alpha}$, the *set of exponents* of φ is the set

$$\mathcal{E}(\varphi) := \{\alpha \in \mathbb{Z}^N \mid a_{\alpha} \neq 0\}.$$

When $\mathcal{E}(\varphi)$ is finite, φ is a Laurent polynomial. When $\mathcal{E}(\varphi)$ is contained in the first orthant, φ is a series with non-negative exponents.

A subset of \mathbb{R}^N of the form $\sigma = \{\lambda_1 v_1 + \dots + \lambda_r v_r \mid \lambda_i \in \mathbb{R}_{\geq 0}\}$ for some $v_1, \dots, v_r \in \mathbb{Q}^N$ is called a (rational convex polyhedral) *cone*. A cone is said to be *strongly convex* when it contains no non-trivial linear subspace.

Let $\sigma \subset \mathbb{R}^N$ be a strongly convex cone. The set of *formal Laurent series with exponents in σ*

$$\mathbb{C}[[\sigma]] = \{\varphi \mid \mathcal{E}(\varphi) \subset \sigma\}$$

is a ring with the natural sum and product.

DEFINITION 2.1. The *Newton polyhedron* of a series $\phi \in \mathcal{R}$ is the convex hull in \mathbb{R}^N of the set $\mathcal{E}(\phi) + \mathbb{R}_{\geq 0}^N$ and is denoted by $\text{NP}\phi$. Let V be a vertex of $\text{NP}\phi$. The *cone of $\text{NP}\phi$ associated to V* is the cone

$$\sigma_V = \{v \in \mathbb{R}^N \mid (V + \lambda v) \in \text{NP}\phi \text{ for some positive real number } \lambda\}.$$

Remark 2. 1. The cone σ_V is always strongly convex and contains the positive orthant.

Now for $k \in \mathbb{N}$ consider the ring of series

$$\mathbb{C}[[\sigma]]_{\frac{1}{k}} := \left\{ \sum_{\alpha \in (\frac{1}{k}\mathbb{Z})^N \cap \sigma} a_\alpha x^\alpha \mid a_\alpha \in \mathbb{C} \right\}.$$

When k divides k' there is a natural inclusion $\mathbb{C}[[\sigma]]_{\frac{1}{k}} \hookrightarrow \mathbb{C}[[\sigma]]_{\frac{1}{k'}}$. So, it makes sense to consider the *ring of formal Puiseux series with exponents in σ*

$$\mathbb{C}[[\sigma]]^\wp := \bigcup_{k \in \mathbb{N}} \mathbb{C}[[\sigma]]_{\frac{1}{k}},$$

We will work only with cones σ containing the first orthant, for such σ we have the following diagram of inclusions:

$$\begin{array}{ccccc} \mathcal{R} & \longrightarrow & \mathbb{C}[[\sigma]] & \longrightarrow & \text{Fr}(\mathbb{C}[[\sigma]]) \\ & & \downarrow & & \downarrow \\ & & \mathbb{C}[[\sigma]]^\wp & \longrightarrow & \text{Fr}(\mathbb{C}[[\sigma]]^\wp) \end{array}$$

where $\text{Fr}(\mathcal{A})$ stands for the field of fractions of \mathcal{A} .

The *dual* of a cone σ is the cone $\sigma^\vee := \{v \in \mathbb{R}^N \mid u \cdot v \geq 0, \forall u \in \sigma\}$. A cone $\sigma \subset \mathbb{R}^N$ is strongly convex if and only if the interior of σ^\vee (as a subset of \mathbb{R}^N) is not empty.

DEFINITION 2.2. Let σ be a strongly convex cone. Given $w \in \sigma^\vee$ the map $\nu_w : \mathbb{C}[[\sigma]]^\wp \longrightarrow \mathbb{R} \cup \{\infty\}$ defined by

$$\nu_w \phi = \min_{\alpha \in \mathcal{E}(\phi)} w \cdot \alpha \tag{3}$$

is a valuation called the *monomial* valuation with weight w .

By restriction, ν_w induces a valuation in all subrings of $\mathbb{C}[[\sigma]]^\wp$ that we will still denote by ν_w . The extension of ν_w from \mathcal{R} to $\mathbb{C}[[\sigma]]^\wp$ is not unique. Anyhow the following holds:

LEMMA 2.1. *There exists a unique way to extend ν_w from the ring of series with exponents in σ to the ring of Puiseux series with exponents in σ .*

Proof:

$$\mathbb{C}[[\sigma]]_{\frac{1}{k}} = \mathbb{C}[[\sigma]][x_1^{\frac{1}{k}}, \dots, x_N^{\frac{1}{k}}] = \frac{\mathbb{C}[[\sigma]][t_1, \dots, t_N]}{(\{t_i^k - x_i\}_{i=1, \dots, N})}$$

The field extension

$$\text{Fr}(\mathbb{C}[[\sigma]]) \hookrightarrow \text{Fr}(\mathbb{C}[[\sigma]])(x_1^{\frac{1}{k}}, \dots, x_N^{\frac{1}{k}})$$

is a normal algebraic extension. Its Galois group is formed by the automorphisms

$$g_{(j_1, \dots, j_N)} : x_i^{\frac{1}{k}} \mapsto \xi^{j_i} x_i^{\frac{1}{k}}; \quad \xi^k = 1, \quad (j_1, \dots, j_N) \in \mathbb{N}^N.$$

by a theorem of Ostrowski and Krull [4, F, Theorem 1] two extensions of a given valuation are conjugate. Since $\nu_w(g_{(j_1, \dots, j_N)}(\phi)) = \nu_w \phi$ we have the result.

Q.E.D.

Remark 2. 2. Let $\mathbb{C}[\sigma]$ denote the ring of Laurent polynomials with exponents in σ . That is $\mathbb{C}[\sigma] = \{\varphi \in \mathbb{C}[[\sigma]] \mid \#\mathcal{E}(\varphi) < \infty\}$. Then $\mathbb{C}[[\sigma]]$ is the completion of $\mathbb{C}[\sigma]$ with respect to the valuation ν_w for any w in the interior of σ^\vee . The field of fractions of $\mathbb{C}[\sigma]$ is the same as the field of fractions of $\mathbb{C}[x_1, \dots, x_N]$. Then, $\mathbb{C}[[\sigma]]$ is a ring of dimension N .

3. PARAMETRIZATIONS WITH EXPONENTS IN A CONE.

J. McDonald showed in [3] that given a polynomial P in $\mathbb{C}[x_1, \dots, x_N][y]$, for any $w \in \mathbb{R}^N$ of rationally independent coordinates, there exists a strongly convex cone σ , with $w \in \sigma^\vee$ such that P has a root in $\mathbb{C}[[\sigma]]^p$. Moreover he gives an algorithm to compute such series. Then, P. González Pérez showed in [2] that σ may be chosen to be a cone of the Newton polyhedron of the discriminant of P with respect to y .

DEFINITION 3.1. Let $\sigma \subset \mathbb{R}^N$ be a cone. For $\varrho \in (\mathbb{R}_{>0})^N$, the σ -wedge of polyradius ϱ is the set

$$W(\sigma, \varrho) := \left\{ z \in (\mathbb{C}^*)^N; \tau(z)^u \leq \varrho^u, \forall u \in \sigma \cap \mathbb{Z}^N \right\}$$

where $\tau(z_1, \dots, z_N) = (|z_1|, \dots, |z_N|)$.

Denote by δ the discriminant of F with respect to y . For each vertex V of the Newton Polyhedron of δ let σ_V be the cone of $\text{NP}\delta$ associated to V (Definition 2.1). By [1, Proposition 5.1], there exists $\varrho_V \in (\mathbb{R}_{>0})^N$ such that the σ_V -wedge of polyradius ϱ_V does not intersect the zero locus of δ .

DEFINITION 3.2. A connected component of $\pi^{-1}(W(\sigma_V, \varrho_V)) \cap \mathcal{H}$ will be called a σ_V -branch of \mathcal{H} . Given a σ_V -branch C of \mathcal{H} the degree of the covering $\pi : C \rightarrow W(\sigma_V, \varrho_V)$ will be denoted by d_C .

Remark 3. 1. Let \mathcal{B}_V be the set of σ_V -branches of \mathcal{H} , $d = \sum_{C \in \mathcal{B}_V} d_C$, d being the degree of F in y .

The following proposition is proved in [1]:

PROPOSITION 3.1. *Let V be a vertex of $NP\delta$ and let σ_V be the cone of $NP\delta$ associated to V . Given a σ_V -branch C of \mathcal{H} , there exist a σ_V -wedge W and a series $\varphi_C \in \mathbb{C}[[\sigma_V]]$, convergent on W such that*

$$\begin{aligned} \Phi_C : \quad W &\longrightarrow \mathbb{C}^{N+1} \\ (x_1, \dots, x_N) &\mapsto (x_1^{d_C}, \dots, x_N^{d_C}, \varphi_C(x)) \end{aligned}$$

parameterizes C . That is:

$$\{(x_1^{d_C}, \dots, x_N^{d_C}, \varphi_C(x)) \mid (x_1, \dots, x_N) \in W\} = C. \tag{4}$$

Remark 3. 2. Let ζ be a primitive d_C -root of unity, the set of functions with property (4) is

$$\{\varphi_C(\zeta^{i_1} x_1, \dots, \zeta^{i_N} x_N) \mid i_j \in \{1, \dots, d_C\}\} = \{\varphi_C^{(1)}, \dots, \varphi_C^{(d_C)}\}$$

and it has exactly d_C elements.

Remark 3. 3. The irreducibility of \mathcal{H} implies that a polynomial $h \in \mathcal{R}$ is an element of \mathcal{I} if and only if it vanishes on C . That is

$$h(x_1^{d_C}, \dots, x_N^{d_C}, \varphi_C(x_1, \dots, x_N)) = 0$$

which is equivalent to $h(x_1, \dots, x_N, \varphi_C(x_1^{\frac{1}{d_C}}, \dots, x_N^{\frac{1}{d_C}})) = 0$.

4. σ -BRANCHES AND PRIMARY DECOMPOSITION.

Let V be a vertex of $NP\delta$ and let C be a σ_V -branch of \mathcal{H} . We will denote by \mathcal{J}_C the kernel of the morphism

$$\begin{aligned} \mathbb{C}[[\sigma_V]][y] &\longrightarrow \mathbb{C}[[\sigma_V]] \\ h(x_1, \dots, x_N, y) &\mapsto h(x_1^{d_C}, \dots, x_N^{d_C}, \varphi_C(x)). \end{aligned}$$

where φ_C is as in proposition 3.1.

Since $\mathbb{C}[[\sigma_V]]$ is an integral domain, \mathcal{J}_C is a prime ideal of $\mathbb{C}[[\sigma_V]][y]$. By remark 3.3 we have $\mathcal{I} = \mathcal{J}_C \cap \mathcal{R}[y]$ for any $C \in \mathcal{B}_V$.

PROPOSITION 4.1. *Let \mathcal{I}^{σ_V} be the extension of \mathcal{I} to the ring $\mathbb{C}[[\sigma_V]][y]$ via the natural inclusion. Then*

$$\mathcal{I}^{\sigma_V} = \bigcap_{C \in \mathcal{B}_V} \mathcal{J}_C.$$

Proof: Set $\phi_C^{(i)} := \varphi_C^{(i)}(x_1^{\frac{1}{d_C}}, \dots, x_N^{\frac{1}{d_C}})$, $i = 1, \dots, d_C$, where the $\varphi_C^{(i)}$ are as in remark 3.2. Each $\varphi_C^{(i)}$ is a root of F as a polynomial in y . Then $\prod_{i=1}^{d_C} (y - \phi_C^{(i)})$ divides F as an element of $\mathbb{C}[[\sigma_V]]^\wp[y]$. This, together with remark 3.1, implies

$$F = \prod_{C \in \mathcal{B}_V} \prod_{i=1}^{d_C} (y - \phi_C^{(i)}). \tag{5}$$

Let $\mathcal{K}_C^{(i)}$ be the kernel of the morphism

$$\begin{aligned} \mathbb{C}[[\sigma_V]]^\wp[y] &\longrightarrow \mathbb{C}[[\sigma_V]]^\wp \\ h(x, y) &\mapsto h(x, \phi_C^{(i)}(x)). \end{aligned}$$

By remark 3.3 we have

$$\mathcal{J}_C = \mathcal{K}_C^{(i)} \cap \mathbb{C}[[\sigma_V]][y] \quad \text{for any } i \in \{1, \dots, d_C\}. \tag{6}$$

Let $\mathcal{I}^{\sigma_V^\wp}$ be the extension of \mathcal{I} to the ring $\mathbb{C}[[\sigma_V]]^\wp[y]$ via the natural inclusion. Equation (5) implies $\mathcal{I}^{\sigma_V^\wp} = \bigcap_{C \in \mathcal{B}_V} \bigcap_{i=1}^{d_C} \mathcal{K}_C^{(i)}$, and the conclusion follows from (6).

Q.E.D.

PROPOSITION 4.2. *The only prime ideals \mathcal{P} of $\mathbb{C}[[\sigma_V]][y]$ with the property $\mathcal{P} \cap \mathcal{R}[y] = \mathcal{I}$ are of the form \mathcal{J}_C with $C \in \mathcal{B}_V$.*

Proof: It follows from proposition 4.1 and remark 2.2.

Q.E.D.

5. THE THEOREM

Given $w \in \mathbb{R}_{>0}^N$, take a vertex V of the Newton polyhedron of δ such that $w \in \sigma_V$. Each σ_V -branch C of \mathcal{H} induces a valuation

$$\begin{aligned} \nu_C : \quad \mathcal{O}_{\mathcal{H}} &\longrightarrow \mathbb{R} \cup \infty \\ h(x_1, \dots, x_N, y) &\mapsto \frac{1}{d_C} \nu_w h(x_1^{d_C}, \dots, x_N^{d_C}, \varphi_C) \end{aligned}$$

that extends ν_w .

THEOREM 5.1. *Let $w \in \mathbb{R}_{>0}^N$ be a vector non-orthogonal to any of the faces of the Newton Polyhedron of δ , and let V be the only vertex of $NP\delta$ such that w belongs to the dual of σ_V . Then all the valuations that extend ν_w are the ones induced by the σ_V -branches of \mathcal{H} .*

We start by proving a lemma:

LEMMA 5.1. *Let w be a vector in the interior of σ_V^\vee , and let $\nu : \mathcal{O}_{\mathcal{H}} \rightarrow \mathbb{R}_{\geq 0} \cup \{\infty\}$ be a valuation that extends ν_w . There exists a σ_V -branch \mathcal{C} of \mathcal{H} and a valuation $\bar{\nu} : \frac{\mathbb{C}[[\sigma_V]][y]}{\mathcal{J}_{\mathcal{C}}} \rightarrow \mathbb{R} \cup \{\infty\}$ that makes the diagram*

$$\begin{array}{ccccc} \mathbb{C}[[\sigma_V]] & \hookrightarrow & \frac{\mathbb{C}[[\sigma_V]][y]}{\mathcal{J}_{\mathcal{C}}} & \hookrightarrow & \mathcal{O}_{\mathcal{H}} \\ & \searrow \nu_w & \downarrow \bar{\nu} & & \swarrow \nu \\ & & \mathbb{R} \cup \{\infty\} & & \end{array}$$

commutative.

Proof: An element $h \in \mathbb{C}[[\sigma]][y]$ is written as

$$h = \sum_{i=0}^{\deg h} \psi_i y^i, \quad \text{where} \quad \psi_i = \sum_{\alpha \in \mathbb{Z}^N \cap \sigma} a_{\alpha}^{(i)} x^{\alpha}$$

for some $A \in \mathbb{Z}^N$. For each $i \in \{0, \dots, \deg h\}$ and $j \in \mathbb{Z}$ set

$$\psi_i^{(j)} := \sum_{\substack{\alpha \in \mathbb{Z}^N \cap \sigma \\ j \leq w \cdot \alpha < j+1}} a_{\alpha}^{(i)} x^{\alpha}.$$

Since w is in the interior of σ^\vee , for all j , the set $\{\alpha \in \mathbb{Z}^N \cap \sigma \mid j \leq w \cdot \alpha < j+1\}$ is finite and then the $\psi_i^{(j)}$'s are Laurent polynomials. We have

$$\psi_i = \sum_{j=0}^{\infty} \psi_i^{(j)} \quad \text{for all } i \in \{1, \dots, \deg h\}.$$

Let $\mathcal{R}[y]_{\mathcal{I}}$ be the localization of $\mathcal{R}[y]$ with respect to \mathcal{I} . Since $\mathcal{I} \cap \mathcal{R} = \{0\}$, we have

$$\psi_i^{(j)} \in \mathcal{R}[y]_{\mathcal{I}}, \quad \forall j \in \mathbb{Z} \text{ and } \forall i \in \{1, \dots, \deg h\}$$

Let $\hat{\nu} : \mathcal{R}_{\mathcal{I}} \rightarrow \mathbb{R}$ be the extension of the morphism given by the composition

$$\mathcal{R}[y] \rightarrow \mathcal{O}_{\mathcal{H}} \xrightarrow{\nu} \mathbb{R} \cup \infty.$$

Since ν extends ν_w and $\psi_i^{(j)} \in \text{Fr}(\mathcal{R})$, we have $\hat{\nu}(\psi_i^{(j)}) = \nu_w(\psi_i^{(j)}) \geq j$. Then

$$\hat{\nu} \left(\sum_{i \in \{1, \dots, \deg h\}} \psi_i^{(K)} y^i \right) \geq K + \min\{0, \nu(y) \deg h\}, \quad \forall K. \quad (7)$$

Set

$$\tau_K := \hat{\nu} \left(\sum_{j=0}^K \sum_{i \in \{1, \dots, \deg h\}} \psi_i^{(j)} y^i \right).$$

Inequality (7) implies that either $\tau_K \geq K + \min\{0, \nu(y) \deg h\}$ for all K or there exists K such that $\tau_l = \tau_K$ for all $l > K$. Then it makes sense to define:

$$\tilde{\nu}h := \lim_{K \rightarrow \infty} \tau_K.$$

By construction, $\tilde{\nu}(hh') = \tilde{\nu}(h) + \tilde{\nu}(h')$ and $\tilde{\nu}(h + h') \geq \min\{\tilde{\nu}(h), \tilde{\nu}(h')\}$, then $\tilde{\nu}$ induces a valuation $\bar{\nu} : \frac{\mathbb{C}[[\sigma]][y]}{\tilde{\nu}^{-1}(\infty)} \rightarrow \mathbb{R} \cup \infty$.

The ideal $\tilde{\nu}^{-1}(\infty)$ is prime and $\tilde{\nu}^{-1}(\infty) \cap \mathcal{R}[y] = \mathcal{I}$. Then, by proposition 4.2 there exists $C \in \mathcal{B}_V$ such that $\tilde{\nu}^{-1}(\infty) = \mathcal{J}_C$.

Q.E.D.

Proof of theorem: Let C and $\bar{\nu} : \frac{\mathbb{C}[[\sigma]][y]}{\mathcal{J}_C} \rightarrow \mathbb{R} \cup \{\infty\}$ be as in the lemma. Let $\mathcal{K}_C^{(1)}$ be as defined in the proof of proposition 4.1, and let $\bar{\bar{\nu}}$ be an extension of $\bar{\nu}$ to $\frac{\mathbb{C}[[\sigma_V]]^\wp[y]}{\mathcal{K}_C^{(1)}}$.

Given $h \in \mathcal{R}[y]$,

$$h(x, y) = h(x, \phi_C^{(1)}) + (y - \phi_C^{(1)})g(x, y), \quad \text{where } g(x, y) \in \mathbb{C}[[\sigma]]^\wp.$$

So,

$$\nu(h) = \bar{\bar{\nu}}(h) = \bar{\bar{\nu}}(h(x, \phi_C^{(1)})) \stackrel{\text{lemma 2.1}}{=} \nu_w h(x, \phi_C^{(1)}) = \nu_C h.$$

Q.E.D.

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